

OCT. 5. 2007 11:56AM

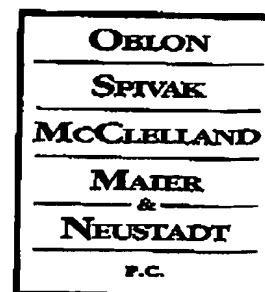
OBLON SPIVAK

COMPLETED

NO. 884

P. 2

October 5, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE  
2051 Jamieson Avenue  
Suite 300  
Alexandria, VA

ATTORNEYS AT LAW  
KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

Attn: Refund Department

Re: Deposit Account Number 150030

Dear Sir or Madame:

Enclosed is a copy of a portion of our Deposit Account Statement of August 2007. Please review the highlighted charge on Serial Number 10/584,464, in the amount of \$130.00 on fee code number 1617.

This charge is in error as the Declaration of Inventorship under Rule 4.17(iv) was submitted with the application on June 22, 2006 as the attached documents from the PTO show confirm.

Please review this application and kindly refund \$130.00 to deposit account number 150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Scott Lohr at (703) 412-6472. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

A handwritten signature in dark ink, appearing to be 'S. Lohr', written over the printed name.

Scott Lohr

Enclosure: Deposit Account Statement

1940 DUKE STREET ■ ALEXANDRIA, VIRGINIA 22314 ■ U.S.A.  
TELEPHONE: 703-413-3000 ■ FACSIMILE: 703-413-2220 ■ WWW.OBLON.COM



**United States  
Patent and  
Trademark Office**

Return To:  
USPTO  
Home  
Page  
Finance  
Online  
Shopping  
Page

**Deposit Account Statement**

**Requested Statement Month:**

**Deposit Account Number:**

**Name:**

**Attention:**

**Street Address 1:**

**Street Address 2:**

**City:**

**State:**

**Zip:**

**Country:**

August 2007

150030

NORMAN F. OBLON

1940 DUKE STREET

ALEXANDRIA

VA

22314

UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/01	1	10527641	268371US0PCT	1202	\$50.00	\$26,201.71
08/01	1	10589981	295058US0PCT	1615	\$1,300.00	\$24,901.71
08/01	2	10589981	295058US0PCT	1681	\$500.00	\$24,401.71
08/01	3	10460471	238941US0XDIV	1201	\$200.00	\$24,201.71
08/01	1	10467346	241207US0PCT	1251	\$120.00	\$24,081.71
08/01	10	11779469	312291US41X	1202	\$50.00	\$24,031.71
08/01	10199	60847918	296951US/KQU	8007	\$20.00	\$24,011.71
08/01	10464	3098939		8503	\$15.00	\$23,996.71
08/01	3	10244736	228320US0	1814	\$130.00	\$23,866.71
08/01	4	10244736	228320US0	1814	\$130.00	\$23,736.71
08/01	5	10244736	228320US0	1814	\$130.00	\$23,606.71
08/01	6	10244736	228320US0	1814	\$130.00	\$23,476.71
08/01	7	10244736	228320US0	1814	\$130.00	\$23,346.71
08/01	13035	11608005	300361US/KQU	8007	\$20.00	\$23,326.71
08/02	1	10118090	221629US-2 D	1811	-\$100.00	\$23,426.71
08/02	23	10608534	256528US3PCT	1806	-\$180.00	\$23,606.71
08/02	16	10490206	251134US90PCT	1263	-\$670.00	\$24,176.71
08/02	18	11016708	262568US0	1806	-\$180.00	\$24,356.71
08/02	19	11176660	274777US2CONT	1201	-\$1,000.00	\$25,356.71
08/02	1	10826390	262006US2	1252	\$450.00	\$24,906.71
08/02	2	10233526	227763US3/HC	1811	-\$100.00	\$26,006.71
08/03	2	10123998	275812US6	1201	\$200.00	\$24,806.71
08/03	1	11632288	300966US0PCT	1202	\$50.00	\$24,756.71
08/03	4	11576496	306171US40PCT	1615	\$50.00	\$24,706.71
08/03	8	11068792	250770US26	1251	-\$120.00	\$24,826.71
08/03	19	10970195	285205US6DIV	1806	-\$180.00	\$26,006.71

08/16 11676 0166930627	224476US/KQU	8014	\$25.00	\$22,514.71
08/16 11694 5920448	0154-2389-2US/KQU	8013	\$25.00	\$22,489.71
08/16 11710 6944102	224476US/KQU	8013	\$25.00	\$22,464.71
08/16 11738 6765755	219604US/KQU	8013	\$25.00	\$22,439.71
08/16 11752 5059878	154-1370-2US/KQU	8013	\$25.00	\$22,414.71
08/16 11771 6958956	219394US/KQU	8013	\$25.00	\$22,389.71
08/16 11798 7149173	236175US/KQU	8013	\$25.00	\$22,364.71
08/16 11818 5398482	154-1793-2US/KQU	8013	\$25.00	\$22,339.71
08/16 11833 5258989	154-1754-2US/KQU	8013	\$25.00	\$22,314.71
08/16 3 10498983	254746US3XPCT	1202	\$650.00	\$21,664.71
08/17 14332 0081640135	0154-2389-2US/KQU	8014	\$25.00	\$21,639.71
08/17 5 10592080	296010US0PCT	1615	\$50.00	\$21,589.71
08/17 2 11065559	251940US41	1201	\$200.00	\$21,389.71
08/17 3 11065559	251940US41	1202	\$200.00	\$21,189.71
08/17 4 09981983	215141US2	1463	\$70.00	\$21,119.71
08/20 3 11670874	302187US28DIV	1806	\$180.00	\$20,939.71
08/20 696 11569224	298749US3PCT	1642	\$250.00	\$20,689.71
08/20 698 11569224	298749US3PCT	1633	\$200.00	\$20,489.71
08/21 1 10432262	236629US90PCT	1617	-\$130.00	\$20,619.71
08/21 8546 11514997	295980US/KQU	8007	\$20.00	\$20,599.71
08/22 2 PAYMENT		9203	-\$15,000.00	\$35,599.71
08/22 15 10588080	293482US0PCT	1806	-\$180.00	\$35,779.71
08/22 1 10528722	282778US8XPCT	1254	-\$1,590.00	\$37,369.71
08/22 2 10528722	282778US8XPCT	1252	\$450.00	\$36,919.71
08/22 109 10416924	236243US0PCT	1814	-\$130.00	\$37,049.71
08/22 110 10416924	236243US0PCT	1814	-\$130.00	\$37,179.71
08/23 10091 3080261		8503	\$15.00	\$37,184.71
08/23 1 10212736	226638US2	1806	-\$180.00	\$37,344.71
08/23 2 10212736	226638US2	1806	-\$180.00	\$37,524.71
08/23 10593 0165710167	262083CN,KR,MX-FF	8014	\$75.00	\$37,449.71
08/23 1 10782929	249205US8	1806	\$180.00	\$37,269.71
08/23 3 10724150	245003US0	1806	\$180.00	\$37,089.71
08/24 1488 60866298	288931US/KQU	8007	\$20.00	\$37,069.71
08/24 3 11084003	265593US6YA	1814	\$130.00	\$36,939.71
08/24 4 10573654		1631	\$300.00	\$36,639.71
08/24 5 10573654		1633	\$200.00	\$36,439.71
08/24 6 10573654		1642	\$400.00	\$36,039.71
08/24 6293 60856289	298958US/KQU	8007	\$20.00	\$36,019.71
08/24 4 11398676	289226US0CONT	1814	\$130.00	\$35,889.71
08/24 6626 11528539	297167US/KQU	8007	\$40.00	\$35,849.71
08/24 6711 11519885	296423US/KQU	8007	\$20.00	\$35,829.71
08/24 8 11404754	289102US2DIV	1202	\$50.00	\$35,779.71
08/24 9 29275120	299104US/KQU	8007	-\$20.00	\$35,799.71
08/24 10 1648446	299104US/KQU	8013	\$25.00	\$35,774.71
08/24 11 10591908	295930US0PCT	1815	-\$800.00	\$36,374.71
08/27 2 10576279	288903US26PCT	1815	\$100.00	\$36,274.71
08/27 7 10584464	292629US0X PCT	1617	\$130.00	\$36,124.71
08/27 7249 843599		8503	\$15.00	\$36,129.71

Due Date June 23, 2006USMM&N File No. 292629US0X PCTBy NFO/drv/EESerial No. New U.S. PCT Application based on PCT/EP04/13609In the matter of the Application of Wolfgang LORTZ, et al.  
For METHOD AND DEVICE FOR PRODUCING DISPERSIONS

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Application Data Sheet (2 pages)
- Notice of Priority
- Dep. Accr. Order Form
- Credit Card Payment Form for \$200.00
- PCT Transmittal Letter
- Preliminary Amendment
- PCT/IB/304
- Information Disclosure Statement
- Cited References (1)
- International Search Report
- Copy of Declaration of Inventorship under PCT Rule 4.17 (3 pages)

■ PCT/IB/308

■ PTO-1449

SERIAL NO. \_\_\_\_\_

Linked to OPTMS

DATE

CASE ID

DATE RECEIVED \_\_\_\_\_



PCT/EP2004/013609

PCT

1/3


Original (for SUBMISSION)

VIII-4-1	<p>Declaration: Inventorship (only for the purposes of the designation of the United States of America) Declaration of Inventorship (Rules 4.17(IV) and 51bis.1(a)(IV) for the purposes of the designation of the United States of America)</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to international application PCT/EP2004/013609 (if furnishing declaration pursuant to Rule 26ter).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications", by application number, country or Member of the World Trade Organization, day, month, and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1-1	Prior applications:	

2/3

PCT

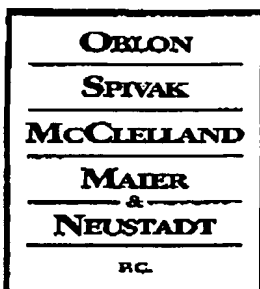
Original (for SUBMISSION)

		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1-1-1	Name (LAST, First)	LORTZ, Wolfgang
VIII-4-1-1-2	Residence: (city and either US State, if applicable, or country)	Wächtersbach, Germany
VIII-4-1-1-3	Mailing address:	Feldstrasse 9 D-63607 Wächtersbach Germany
VIII-4-1-1-4	Citizenship:	DE
VIII-4-1-1-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1-1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	03.01.2005

OCT. 5. 2007 11:56AM

OBLON SPIVAK

NO. 884 P. 1



ATTORNEYS AT LAW

1940 DUKE STREET  
ALEXANDRIA, VIRGINIA 22314  
USA

(703) 413-3000  
(703) 413-2220 FACSIMILE

OBLONPAT@OBLON.COM

PATENT, TRADEMARK AND COPYRIGHT LAW  
AND RELATED FEDERAL AND ITC LITIGATION

WWW.OBLON.COM

### FACSIMILE

PLEASE CALL US AT (703) 413-3000 IF THE MESSAGE YOU RECEIVE IS INCOMPLETE OR NOT LEGIBLE

TO

Office of Finance

10/5/2007

NAME

USPTO

DATE

571-273-6500

COMPANY/FIRM

FAX #

NUMBER OF PAGES INCLUDING COVER: \_\_\_\_\_

CONFIRM FAX: ☐ YES ☐ NO

FROM

Scott Lohr

NAME

703-412-6472

OUR REFERENCE

DIRECT PHONE #

YOUR REFERENCE

### MESSAGE

Unless otherwise indicated or obvious from the nature of the transmittal, the information contained in this facsimile message is attorney privileged and confidential information intended for the use of the individual or entity named above. If the reader of this message is not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error or are not sure whether it is privileged, please immediately notify us by telephone and return the original message to us at the above address via the U.S. Postal Service at our Expense. Thank You.